

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE

Attorney's Docket No.

Serial No.

(REV. 6-89)

Patent and Trademark Office

22407-05492

09/978,144

INFORMATION DISCLOSURE CITATION

Applicant

Scott Rosenberg, et al.

Filing Date

10/15/2001

Group Art Unit

Not Yet Known

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner's Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
jdc	5,999,688	12/07/99	Iggulden et al.	386	46	08/13/96
jdc	5,987,210	11/16/99	Iggulden et al.	386	46	12/15/95
jdc	5,696,866	12/09/97	Iggulden et al.	386	46	09/12/94
jdc	5,692,093	11/25/97	Iggulden et al.	386	46	01/04/94
jdc	5,455,630	10/03/95	McFarland et al.	348	476	08/06/93
jdc	5,333,091	07/26/94	Iggulden et al.	360	14.1	01/08/93

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
jdc	EP 0 693 215 B1	11/25/98	Europe	G11B	27/02	Yes	
jdc	AU 694568	03/21/96	Australia	H04N	5/76	Yes	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

/Jeffrey Carlson/

07/06/2007

EXAMINER

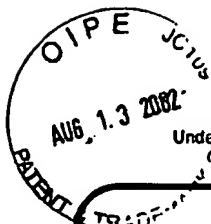
DATE CONSIDERED

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PTO-1449

REV: 02/01



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	09/978,144
Filing Date	October 15, 2001
First Named Inventor	Scott A. Rosenberg
Art Unit	2673
Examiner Name	Unassigned
Attorney Docket Number	22407-05492

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Sheet 1 of 2

AUG 14 2002

U.S. PATENT DOCUMENTS**Technology Center 2600**

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
jdc	A	US-6,360,053 B1	03-19-2002	Wood et al.
jdc	B	US-6,327,418 B1	12-04-2001	Barton
jdc	C	US-6,324,338 B1	11-27-2001	Wood, et al.
jdc	D	US-6,310,886 B1	10-30-2001	Barton
jdc	E	US-6,233,389 B1	05-15-2001	Barton et al.
jdc	F	US-6,215,526 B1	04-10-2001	Barton et al.
jdc	G	US-5,995,092	11-30-1999	Yuen et al.
jdc	H	US-5,886,731	03-23-1999	Ebisawa
jdc	I	US- 5,848,397	12-08-1998	Marsh et al.
jdc	J	US- 5,664,948	09-09-1997	Dimitriadis et al.
jdc	K	US-5,661,516	08-26-1997	Carles
jdc	L	US-5,652,615	07-29-1997	Bryant et al.
jdc	M	US-5,600,366	02-04-1997	Schulman

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
	N	WO 01/89203 A2	11-22-2001	Tivo, Inc.	
	O	WO 01/76249 A1	10-11-2001	United Video Properties, Inc.	
	P	WO 01/65862 A2	09-07-2001	Tivo, Inc.	
	Q	WO 01/65762 A2	09-07-2001	Tivo, Inc.	
	R	WO 01/47279 A2	06-28-2001	Tivo, Inc.	
	S	WO 01/47249 A2	06-28-2001	Tivo, Inc.	
	T	WO 01/47238 A2	06-28-2001	Tivo, Inc.	

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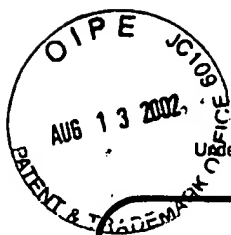
Examiner Signature	/Jeffrey Carlson/	Date Considered	07/06/2007
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

22407/05492/DOCS/1288901.1



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				Application No.	09/978,144
				Filing Date	October 15, 2001
				First Named Inventor	Scott A. Rosenberg
				Art Unit	2673
Examiner Name	Unassigned				
Sheet	2	of	2	Attorney Docket Number	22407-05492

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² – Number ³ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
	U	WO 01/46843 A2	06-28-2001	Tivo, Inc.	
	V	WO 01/22729 A1	03-29-2001	Tivo, Inc.	
	W	WO 01/06370 A1	01-25-2001	Tivo, Inc.	
	X	WO 00/67475 A1	11-09-2000	Tivo, Inc.	
	Y	WO 00/62533 A1	10-19-2000	Tivo, Inc.	
	Z	WO 00/62299 A1	10-19-2000	Tivo, Inc.	
	AA	WO 00/62298 A1	10-19-2000	Tivo, Inc.	
	BB	WO 00/59214 A1	10-05-2000	Tivo, Inc.	
	CC	WO 00/58967 A1	10-05-2000	Tivo, Inc.	
	DD	WO 00/58834 A1	10-05-2000	Tivo, Inc.	
no copies	EE	WO 00/58833 A1	10-05-2000	Tivo, Inc.	
	FF	WO 00/56072 A1	09-21-00	Index Systems, Inc. et al.	
	GG	WO 00/44171 A1	07-27-2000	Tuneto.com, Inc.	
	HH	WO 00/28736 A1	05-18-2000	Tivo, Inc.	
	II	WO 00/18108 A2	03-30-2000	Tivo, Inc.	
	JJ	WO 00/18108 A3	03-30-2000	Tivo, Inc.	
	KK	WO 00/07368 A1	02-10-2000	Tivo, Inc.	
	LL	WO 99/52285 A1	10-14-1999	Discovery Communications, Inc.	
	MM	WO 99/52279 A1	10-14-1999	Tivo, Inc.	
	NN	WO 99/04561 A1	01-28-99	E-Guide, Inc. et al.	
	OO	EP 1 071 287 A2	01-24-2001	Lucent Technologies, Inc.	
	PP	EP 1 071 287 A3	03-28-2001	Lucent Technologies, Inc.	
	QQ	DE 199 39 410 A1	03-01-2001	Reger et al.	

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STATEMENT BY APPLICANT**

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Complete if Known

Application Number	09/978,144
Filing Date	October 15, 2001
First Named Inventor	Scott A. Rosenberg
Art Unit	2673
Examiner Name	Jeffrey D. Carlson
Attorney Docket Number	03-380

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
jdc	1	US- 5,708,776	1-13-1998	Kikinis, Dan	
jdc	2	US- 6,108,484	08-22-2000	Lim et al.	
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ⁴ "Number" "Kind Code" (if known)				
jdc	3	AU B 36802/95	11-25-1998	Hoover et al.		
jdc	4	WO 97/31315	08-28-1997	Bizzarri, Maurice W.		
jdc	5	EP 0917060	05-19-1999	Meyer et al.		

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Date
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07/06/2007

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STATEMENT BY APPLICANT**

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Sheet

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Complete if Known

Application Number	09/978,144
Filing Date	October 15, 2001
First Named Inventor	Scott A. Rosenberg
Art Unit	2673
Examiner Name	Jeffrey D. Carlson
Attorney Docket Number	03-380

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
jdc	1	US- 5,610,653	03-11-1997	Abecassis, Max	
jdc	2	US- 5,826,168	10-20-1998	Inoue et al.	
jdc	3	US- 5,781,228	07-14-1998	Sposato, Jonathon N.	
jdc	4	US- 5,740,549	04-14-1998	Reilly et al.	
jdc	5	US- 5,959,621	09-28-1999	Nawaz et al.	
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		Country Code ³ "Number" ⁴ "Kind Code" ⁵ (if known)				
jdc	6	WO 98/59493	12-30-1998	Ellenby et al.		
jdc	7	WO 98/51076	11-12-1998	Daniels, John J.		
jdc	8	EP 0 693 215 B1	11-25-1998	Iggulden, et al.		

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Signature

/Jeffrey Carlson/

Date
Considered

07/06/2007

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	09/978,144
Filing Date	October 15, 2001
First Named Inventor	Scott A. Rosenberg
Art Unit	2673
Examiner Name	Jeffrey D. Carlson
Attorney Docket Number	03-380

Sheet

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of

2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
jdc	9	CNN.com [online], retrieved from the World Wide Web at URL: http://www.cnn.com/ on December 6, 2001.	

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Signature

/Jeffrey Carlson/

Date
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/978,144
Filing Date	October 15, 2001
First Named Inventor	Scott A. Rosenberg
Art Unit	3622
Examiner Name	Jeffrey D. Carlson
Attorney Docket Number	03-380

Sheet

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of

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Attorney Docket Number	03-380
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U. S. PATENT DOCUMENTS

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		Country Code ³ "Number" ⁴ "Kind Code" ⁵ (if known)				
jdc	3	WO 02/056577	07-18-2002	Rosenberg, Scott A.		
jdc	4	WO 02/33973 A2	04-25-2002	Rosenberg, Scott A.		
jdc	5	WO 99/04561 A	01-28-1999	Alexander et al.		
jdc	6	WO 96/08921	03-21-1996	Iggulden et al.		
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Signature**

/Jeffrey Carlson/

Date Considered

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				Filing Date	October 15, 2001
				First Named Inventor	Scott A. Rosenberg
				Art Unit	3622
				Examiner Name	Jeffrey D. Carlson
				Attorney Docket Number	03-380
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS

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Examiner Signature	/Jeffrey Carlson/	Date Considered	07/06/2007
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